



Joseph Janeczek, Director
Global Environmental Programs
and Corporate Social Responsibility

Tyco International
9 Roszel Road
Princeton, NJ 08540
Tele: 609 720 4404

February 11, 2015

Certified Mail/Return Receipt

Donna Murray
Enforcement Coordinator
United States Environmental Protection Agency, Region 1
Office of Site Remediation and Restoration
5 Post Office Square- Suite 100,
Mail Code OSRR07-2
Boston, MA 02109-3912

RE: Request for Information Pursuant to Section 104 of CERCLA for the Keddy Mill Superfund Site, Windham ME.

Dear Ms. Murray –

Please find attached Tyco International's response, on behalf of its subsidiary SimplexGrinnell, to the EPA request for information pursuant to Section 104 of CERCLA for the Keddy Mill Superfund site in Windham, Maine.

Also attached is an e-mail string which shows approval for two extensions by Susan Scott, Senior Enforcement Officer USEPA as the original due date was approximately December 15, 2014.

Should you or anyone of your staff have any questions related to Tyco's response please feel free to reach out to Tyco.

Thank you -

A handwritten signature in black ink, appearing to be "JC" or similar, written over a horizontal line.

CC: Matt Tanzer, Vice President, Associate General Counsel Tyco International



INFORMATION REQUEST QUESTIONS

1. General Information About Respondent:

NOTE: All questions in this section refer to the present time unless otherwise indicated.

- a. Provide the full legal name and mailing address of the Respondent.

**Tyco International, on behalf of its subsidiary SimplexGrinnell
9 Roszel Road
Princeton NJ 08540**

- b. For each person answering these questions on behalf of Respondent provide:

- i. Full name;
- ii. Title;
- iii. Business address ; and
- iv. Business telephone number and email address

**Joseph Janeczek
Director, Global Environmental Compliance Program and Corporate Social Responsibility
Tyco International
9 Roszel Road
Princeton NJ 08540
609-720-4404
jjaneczek@tyco.com**

**Matthew Tanzer
Vice President, Associate General Counsel
Tyco International
Princeton, NJ 08540
609 -720-4346
MTanzer@TYCO.COM**

- c. If Respondent wishes to designate an individual for all future correspondence concerning this Site, including any legal notices, please so indicate here by providing that individual's name, address, telephone number, and email address.

Joseph Janeczek
Director, Global Environmental Compliance Program and Corporate Social Responsibility
Tyco International
9 Roszel Road
Princeton NJ 08540
609-720-4404
jjaneczek@tyco.com

and -

Matthew Tanzer
Vice President, Associate General Counsel
Tyco International
Princeton, NJ 08540
609 -720-4346
MTanzer@TYCO.COM

- d. Describe the nature of the Respondent's business at the Site during the period being investigated.

Tyco International and/or SimplexGrinnell (the Respondent) has no knowledge of the nature of the business at the Site during the period being investigated. To the best of Respondent's knowledge, the Respondent never owned or operated the Site in question at any time during the Site's history. In addition, during the period being investigated, the Respondent never engaged or transacted with any entity that owned or operated this Site for the manufacturing of, processing of, disposal of, or the transportation of any of the Respondent's products or wastes.

Respondent's investigation into this matter has revealed the following:

In 1976, Tyco purchased the stock of an entity named "Grinnell Fire Protection Systems Company, Inc.," or GFPS, from ITT as a part of ITT's court-ordered divestiture. GFPS was a new legal entity formed by the ITT Trustee to hold the remaining assets of ITT's "Fire Protection Division of Grinnell." The Keddy Mill Site was not part of the assets of GFPS. Notably, the Keddy Mill Site had been sold by ITT in August 1973, well before the Trustee began to collect the assets to put into the newly created GFPS. Tyco purchased the new GFPS holding company from the

Trustee, thereby acquiring only those former assets that were placed into the holding company by the Trustee, which did not include the Keddy Mill Site. Subsequently, in 1986, Tyco purchased additional assets from ITT, but these assets also did not include the Keddy Mill Site.

2. Respondent's Legal and Financial Status:

NOTE: All questions in this section refer to the period being investigated.

a. If the Respondent has ever done business under any other name, NONE

- i. List each such name; and
- ii. List the dates during which such name was used by Respondent.

The Respondent has not done business under another name and therefore no response is provided to these requests.

b. If Respondent is a corporation, provide:

i. The date of incorporation;

March 7, 2001

ii. State of incorporation;

Delaware

iii. Agent for service of process;

The Corporation Trust Company, 1209 Orange Street, Wilmington, Delaware 19801

iv. The names of current officers; and the names of current directors

LPs do not have directors. Officers listed below.

SimplexGrinnell LP

<u>Position Type</u>	<u>Name</u>	<u>Title</u>
Officer	Chauvin, Robert F.	President
Officer	Smith, Dana	Vice President
Officer	Bledsoe, Richard	Vice President
Officer	Schiavone, Carmine	Vice President
Officer	Meisner, Mark	Vice President
Officer	Madson, James	Vice President

Officer	Maxie, Robert C.	Vice President
Officer	Maxie, Robert C.	Secretary - Officer
Officer	Meisner, Mark	Treasurer
Other	Coen, Kevin J	Vice President and Assistant Secretary
Officer	O'Connell, Robert J.	Vice President & Assistant Treasurer
Officer	Timmerman, Timothy	Vice President and Assistant Treasurer
Officer	Cerutti, Robert P.	Vice President and Assistant Treasurer
Officer	Curry, Judy	Vice President and Assistant Treasurer
Officer	Leong, Jennifer	Assistant Secretary
Officer	Heintel, Robert	Assistant Secretary
Officer	Garlock, Dana K.	Assistant Secretary
Officer	Mills, Dennis W.	Assistant Secretary
Officer	Ignatowski, Tyler	Corporate Technology Control Officer
Officer	Vielehr, Michael, Jr.	Licensing Officer--Illinois
Officer	Ignatowski, Tyler	Corporate Facility Security Officer
Officer	Sullinger, Glenn	International Trade Compliance Officer
Officer	Henk, Joseph M	Licensing Officer--Illinois
Officer	Wiley, Scott	Licensing Officer--Illinois
Officer	Roth, Tim	Licensing Officer--Illinois
Officer	Harmon, Ronald A	Licensing Officer--Illinois
Officer	Wynne, Richard M.	Licensing Officer--Illinois
Officer	Polito, Joseph	Licensing Officer--Illinois

- c. If Respondent is, or was a subsidiary of, otherwise owned or controlled by, or affiliated with another corporation or entity including ITT Grinnell, describe each such relationship, including but not limited to:
- i. A general statement of the nature of the relationship;
 - ii. The dates such relationship existed;
 - iii. The percentage of ownership of Respondent that is held by such other entity; and
 - iv. For each affiliated entity provide the names and complete addresses of its parent, subsidiary, and otherwise affiliated entities.

SimplexGrinnell LP is a Limited Partnership and is owned by four partners and managed by its General Partner as shown below:

Company Name: Simplex Time Recorder LLC, General Partner
Company Address: 4700 Exchange Ct, Suite 300
Boca Raton, Florida 33431
Ownership in Partnership: 50.7827%
Tax ID Number: 04-2797344
Telephone Number: 561-988-7200

Company Name: Tyco Fire Protection LLC, Limited Partner
Company Address: 4700 Exchange Ct, Suite 300
Boca Raton, Florida 33431
Ownership in Partnership: 47.0922%
Tax ID Number: 45-5541327

Company Name: Master Protection, LP, Limited Partner
Company Address: 4700 Exchange Ct., Suite 300
Boca Raton, Florida 33431
Ownership in Partnership: 2.1238%
Tax ID Number: 94-3077928

Company Name: STR Grinnell GP Holding, LLC, Limited Partner
Company Address: 4700 Exchange Ct., Suite 300
Boca Raton, Florida 33431
Ownership in Partnership: 0.0013%
Tax ID Number: 65-1051384

List of entities that SimplexGrinnell owns or previously Owned including start and end dates:

Name	Equity Percent	Voting Percent	Start Date	End Date
Tyco RFC 2002 LLC	100		09/23/2002	08/08/2005
Tyco Fire & Security LLC	100		06/16/2004	
Certified Fire Protection, Inc.	100		01/09/2012	01/31/2012
Simplex Time Recorder Co.	100		10/26/2013	
Westfire Inc.	100		11/08/2013	01/01/2014
Westfire Coastal, Inc.	100		11/08/2013	01/01/2014
Integrated Systems and Power, Inc.	100		01/14/2015	

Ownership History since 2001 forward—

Name	Equity Percentage	Voting Percentage	Start Date	End Date	L
STR Grinnell GP Holding, LLC	0.0013		03/07/2001		
Simplex Time Recorder LLC	50.7827		03/07/2001		
GFS Holding	5		03/07/2001	09/25/2009	
Master Protection, LP	2.1238		03/07/2001		
Grinnell LLC	47.0922		09/25/2009	07/12/2012	
Tyco Fire Protection LLC	47.0922		07/12/2012		

See also Response to Information Request 1.d.

d. If Respondent no longer exists as a legal entity because of dissolution provide: **STILL EXISTS**

- i. A brief description of the nature and reason for dissolution;
- ii. The date of dissolution;
- iii. Documents memorializing or indicating the dissolution of the entity; and
- iv. A statement of how and to whom the entity's assets were distributed.
- v.

The Respondent still exists and therefore no response is provided to these requests.

e. If Respondent no longer exists as the same legal entity it was during the period being investigated because of transactions involving asset purchases or mergers, provide: **STILL EXISTS**

- i. The titles and dates of the documents that embody the terms of such transactions (*i.e.*, purchase agreements, merger and dissolution agreements);

- ii. The identities of the seller, buyer, and any other parties to such transactions; and
- iii. A brief statement describing the nature of the asset purchases or mergers.

The Respondent still exists and therefore no response is provided to these requests.

f. If Respondent has filed for bankruptcy, provide: **NO**

- i. The U.S. Bankruptcy Court in which the petition was filed;
- ii. The docket numbers of such petition;
- iii. The date the bankruptcy petition was filed
- iv. Whether the petition is under Chapter 7 (liquidation), Chapter 11 (reorganization), or other provision; and
- v. A brief description of the current status of the petition.

The Respondent has not filed for Bankruptcy and therefore no response is provided to these requests.

3. Ownership at the Site:

NOTE: All questions in this section refer to the period being investigated.

- a. Provide a detailed narrative description of Respondent's purpose for acquiring and then selling the Site.

See Response to Information Request 1.d.

- b. Provide the date on which Respondent acquired each such property within or immediately surrounding the Site.

See Response to Information Request 1.d.

- c. Identify the seller/grantor of each such acquired property.

See Response to Information Request 1.d.

- d. Did you acquire the Site after the disposal or placement of the hazardous substances on, in, or at the Site? Describe all the facts on which you base the answer to the preceding question.

See Response to Information Request 1.d.

- e. Describe all investigations of the Site you undertook prior to acquiring the Site and all of the facts on which you base the answer preceding the question.

See Response to Information Request 1.d.

- f. Provide copies of all deeds relating to past ownership, acquisitions, and encumbrances for each of the Respondent's properties within or immediately surrounding the Site.

The Respondent has no records of any deeds to past ownership, acquisitions, and encumbrances related to the Site or properties within or immediately surrounding the Site. See Response to Information Request 1.d.

- g. Describe all activities undertaken at the Site by the Respondent during Respondent's ownership of the Site, including but not limited to:

See Response to Information Request 1.d.

- i. A brief narrative of the day-to-day operation of the Site;

See Response to Information Request 1.d.

- ii. A description of the Respondent's activities at the Site by date;

See Response to Information Request 1.d.

- iii. A description of the generation, storage, placement, disposal or treatment of wastes at the Site by date;

See Response to Information Request 1.d.

- iv. The identification of lessees, contractors, tenants, or others who carried out operations at the Site;

See Response to Information Request 1.d.

- v. A description of the activities each lessee, contractor, tenant, or others took at the Site by date;

See Response to Information Request 1.d.

- vi. A description of the collection of monies or other compensation for use of the Site by others;

See Response to Information Request 1.d.

- vii. A description of the construction and/or demolition of any surface or subsurface structures at the Site (including but not limited to the dates such activities took place); and

See Response to Information Request 1.d.

- viii. A description of any other significant operations or activities at the Site.

See Response to Information Request 1.d.

4. Operation at the Site:

- a. Provide a brief description of the nature of Respondent's operations at the Site including:

- i. The date such operations commenced and concluded; and\

See Response to Information Request 1.d.

- ii. The types of work performed, including but not limited to the industrial, chemical, or institutional processes undertaken.

See Response to Information Request 1.d.

-
- b. If the nature or size of Respondent's operations changed over time, describe the changes and the dates they occurred.

See Response to Information Request 1.d.

- c. List the products Respondent manufactured, recycled, recovered, treated, or otherwise processed in these operations.
- d. **See Response to Information Request 1.d.** In general terms, list the types of raw materials used in Respondent's operations.

See Response to Information Request 1.d.

- e. Describe the cleaning and maintenance of the equipment and machinery involved in these operations, including but not limited to:
 - i. The types of materials used to clean/maintain the equipment/machinery; and

See Response to Information Request 1.d.

- ii. The monthly or annual quantity of each such material used.
- f. **See Response to Information Request 1.d.** Describe the methods used to clean up spills of liquid or solid materials during Respondent's operation, including but not limited to:
 - i. **See Response to Information Request 1.d.** The types of materials spilled in Respondent's operations;

See Response to Information Request 1.d.

- ii. The materials used to clean up those spills;

See Response to Information Request 1.d.

- iii. The methods used to clean up those spills; and

See Response to Information Request 1.d.

- iv. Where the materials used to clean up those spills were disposed of.

See Response to Information Request 1.d.

- g. Describe all leaks, spills, or releases at or from the Site of materials that were or may have been hazardous, toxic, flammable, reactive, or corrosive, or may have contained hazardous substances, including, but not limited to:
 - i. **See Response to Information Request 1.d.** The date of each such occurrence;

See Response to Information Request 1.d.

- ii. The specific location on the Site of each such occurrence; and

See Response to Information Request 1.d.

- iii. The materials that were involved in each such occurrence in terms of the nature, composition, color, smell, and physical state (solid or liquid) of such material.

See Response to Information Request 1.d.

- h. Describe and provide the dates of all activities undertaken by Respondent and others to:

- i. Address all leaks, spills, or releases of materials at or from the Site; and

See Response to Information Request 1.d.

- ii. To prevent a threatened leak, spill, or release at or from the Site.

See Response to Information Request 1.d.

- i. Describe all fires, explosions, or similar occurrences at the Site, including but not limited to:
 - i. **See Response to Information Request 1.d.** The dates of such occurrences;

See Response to Information Request 1.d.

- ii. The specific locations on the Site of such occurrences;

See Response to Information Request 1.d.

- iii. The nature of such occurrences; and
See Response to Information Request 1.d.

- iv. The measures taken to respond to them

See Response to Information Request 1.d.

- j. Provide all information you have regarding the disposal, treatment, storage, or recycling of wastes at the Site during the period being investigated.

See Response to Information Request 1.d.

- k. Provide all information you have that during the period being investigated:

- i. The wastes disposed, treated, stored, placed or recycled at the Site included hazardous substances, such as but not limited to; polychlorinated biphenyls (PBCs); metals (arsenic, cadmium, chromium, cobalt, copper, iron, manganese, nickel and zinc); and VOCs including 2-butanone, acetone, and methyl acetate; and

See Response to Information Request 1.d.

- ii. Hazardous substances were released at the Site.

See Response to Information Request 1.d.

- l. Identify all surveys, studies, or collections of data for which Respondent has submitted information to local, state, federal, or private entities concerning the Site.

See Response to Information Request 1.d.

- m. Provide a copy of the information submitted by Respondent for such survey or study.

See Response to Information Request 1.d.

- n. Provide a copy of the resulting survey, study, or collection of data.

See Response to Information Request 1.d.

5. Respondent's Waste/Waste Streams (including By-products):

NOTE: All questions in this section refer to the period being investigated unless otherwise indicated.

- a. Please list each type of waste (including by-products) from Respondent's operations, including but not limited to all liquids, sludges, and solids, and provide:

- i. the approximate monthly and annual volumes of each type of waste (using such measurements as gallons, cubic yards, pounds, etc); and
- ii. **See Response to Information Request 1.d.** The dates (beginning & ending) during which each type of waste was produced by Respondent's operations.

See Response to Information Request 1.d.

- b. Describe how each type of waste was collected and stored at Respondent's operation prior to disposal/recycling/sale/transport, including:

i. The type of container in which each type of waste was placed/stored; and
See Response to Information Request 1.d.

ii. Where each type of waste was collected/stored
See Response to Information Request 1.d.

c. State where Respondent sent each type of its waste for disposal, treatment, or recycling
See Response to Information Request 1.d.

6. Contractors or Site Managers:

a. If not already provided in response to previous questions, identify all contractors, site managers, or other entities or individuals who performed any work at the Site.
See Response to Information Request 1.d.

b. List the dates of each such entity's or person's work at the Site.
See Response to Information Request 1.d.

c. Identify all documents produced by each such entity or person concerning the Site, including but not limited to all site analyses, surveys, and studies, if not already provided to the EPA.
See Response to Information Request 1.d.

7. Information About Others:

a. If you have information concerning the operation or ownership of the Site or the source, content or quantity of materials placed/disposed at the Site which is not included in the information you have already provided, provide all such information.
See Response to Information Request 1.d.

b. If not already included in your response, if you have reason to believe that there may be persons, including persons currently or formerly employed by Respondent, who are able to provide a more detailed or complete response to any of these questions or who may be able to provide additional responsive documents, identify such persons and the additional information or documents that they may have.
See Response to Information Request 1.d.

c. If not already provided, identify all persons, including Respondent's current and former employees, who have knowledge or information about the generation, use, purchase, treatment, storage, disposal, placement or other handling of materials at, or transportation of materials to, the Site.
See Response to Information Request 1.d.

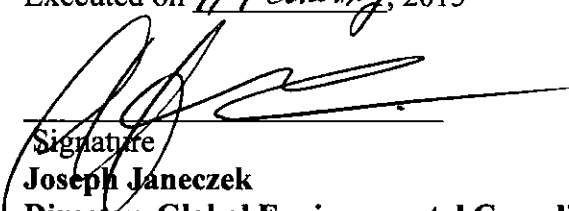
The following form of declaration must accompany all information submitted by Respondents in response to the Information Request:

DECLARATION

I declare under penalty that I am authorized to respond on behalf of Respondent and that the foregoing is, to the best of my knowledge, information and belief, complete, true, and correct.

Respondent

Executed on 11 February, 2015



Signature

Joseph Janeczek

**Director, Global Environmental Compliance Programs and
Corporate Social Responsibility**

Janeczek, Joseph

From: Scott, Susan <Scott.Susan@epa.gov>
Sent: Monday, January 12, 2015 2:24 PM
To: Janeczek, Joseph
Cc: McVickar, Leslie; Murray, Donna
Subject: RE: Keddy Mill Superfund Site - Request for Extension of Time to Respond to Information Request

Follow Up Flag: Follow up
Flag Status: Flagged

Categories: Red Category

Mr. Janeczek,

Tyco may have an additional extension until February 15, 2015 to respond to the Section 104e information request for the above-referenced site. I wish Mr. Tanzer a speedy recovery.

Best regards,

Susan

Susan Scott
Senior Enforcement Counsel
United States Environmental Protection Agency
5 Post Office Square- Suite 100, Mail Code OES04-4
Boston, MA 02109-2912
tel. (617)918-1778
fax. (617)918-0778

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From: Janeczek, Joseph [mailto:jjaneczek@tyco.com]
Sent: Monday, January 12, 2015 1:23 PM
To: Scott, Susan
Subject: RE: Keddy Mill Superfund Site - Request for Extension of Time to Respond to Information Request

Ms. Scott –

Good afternoon – I just became aware that Matt Tanzer, Tyco's VP, Associate General Counsel with who you communicated with in December (see below) in referenced to the Keddy Mill 104 information request has a detached retina and he will be out of the office between three and four weeks depending upon how he recovers from surgery. Unfortunately Matt is an integral part in Tyco's submittal of the 104 information request and although nearly complete, we are requesting a second extension if possible until February 15.

I apologize for asking for this extension but felt it is necessary under the circumstances.

Please let me know if you need any additional information and if we may have this extension.

Please feel free to call me directly at 609-720-4404 if you have any questions.

Thank you
Joe

Joseph Janeczek PE, ARM / Director – Global Environmental Programs & Corporate Social Responsibility / EHS
Tel: +1 609 720 4404 / Mobile +1 609 216 8697
9 Roszel Road / Princeton, NJ 08540 / USA
jjaneczek@tyco.com / www.tyco.com



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From: Scott, Susan [<mailto:Scott.Susan@epa.gov>]
Sent: Monday, December 08, 2014 2:56 PM
To: Tanzer, Matthew
Cc: McVickar, Leslie; Murray, Donna
Subject: RE: Keddy Mill Superfund Site - Request for Extension of Time to Respond to Information Request

Mr. Tanzer,

I apologize for the delay in responding. The response to the CERCLA information request sent to SimplexGrinnell is now due on January 16, 2015.

Please contact me if you have further questions.

Best regards,

Susan Scott
Senior Enforcement Counsel
United States Environmental Protection Agency
5 Post Office Square- Suite 100, Mail Code OES04-4
Boston, MA 02109-2912
tel. (617)918-1778
fax. (617)918-0778

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From: Tanzer, Matthew [<mailto:MTanzer@TYCO.COM>]
Sent: Friday, December 05, 2014 3:14 PM
To: Scott, Susan
Cc: McVickar, Leslie; Murray, Donna
Subject: RE: Keddy Mill Superfund Site - Request for Extension of Time to Respond to Information Request

Susan

Attached is a formal Request for Extension of Time, which is also being mailed to your office.

Thank you for your consideration.

Matt

Matthew Tanzer / Vice President, Associate General Counsel
Princeton, NJ: +1 609 720 4346 / Lansdale, PA: +1 215 692 1059 / Mobile: +1 609 933 2356

tyco

From: Tanzer, Matthew
Sent: Friday, December 05, 2014 1:31 PM
To: 'scott.susan@epa.gov'
Subject: Keddy Mill Superfund Site - Request for Extension of Time to Respond to Information Request

Susan

The CERCLA Information Request for the Keddy Mill Superfund Site that was sent to SimplexGrinnell LP was forwarded to me for a response. I am writing to request an additional 30 days to respond to that request, until Jan. 16, 2015.

Please let me know if we may have that additional time.

Thank you.

Matt

Matthew Tanzer / Vice President, Associate General Counsel
Princeton, NJ: +1 609 720 4346 / Lansdale, PA: +1 215 692 1059 / Mobile: +1 609 933 2356
9 Roszel Road / Princeton, NJ 08540 / USA
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tyco

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Tyco International (US) Inc.
9 Roszel Road
Princeton, NJ 08540
609 720-4200

Ms. Donna Murray
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Restoration
5 Post Office Square - Suite 100
Mail Code OSR07-2
Boston, MA 02109-3912